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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing      OR      ☐ Declaration Submitted after Initial Filing

Attorney Docket  
Number

M M6208 HST/CCAE PCT/US

First Named  
Inventor

**YOSHIDA, Masayuki**

**COMPLETE IF KNOWN**

Application Number

Filing Date

## Group Art Unit

**Examiner Name**

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METAL SURFACE FOR FILM LAMINATION AND PROCESS THEREFOR

**(Title of the invention)**

the specification of which

☐ is attached hereto

**OR**

☒ was filed on (MM/DD/YYYY) 07/31/1997 as United States Application Number or PCT International

Application Number	PCT/US97/13685	and was amended on (MM/DD/YYYY)	(if applicable).
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I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

**I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.**

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
H8-219282	JP	08/01/1996	<div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div>	<div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px;"></div> <div style="border: 1px solid black; height: 15px; width: 30px; text-align: center;">X</div>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

**Burden Hour Statement:** This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

## DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/US97/13685	07/31/1997	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number  or label

OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
Ernest G. Szoke	22,135	John E. Drach	32,891
Wayne C. Jaeschke	21,062	Glenn E. J. Murphy	33,539
Real J. Grandmaison	25,981	Stephen D. Harper	33,243
Norvell E. Wisdom, Jr.	30,510		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☐ Customer Number  or label  OR ☒ Fill in correspondence address below

Name	Norvell E. Wisdom, Jr.				
Address	Henkel Corporation - Patent Department				
Address	2500 Renaissance Boulevard, Suite 200				
City	Gulph Mills	State	PA	ZIP	19406
Country	USA	Telephone	610-278-4928	Fax	610-278-6548

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned

Given Name	Masayuki	Middle Initial		Family Name	YOSHIDA	Suffix e.g. Jr.	
Inventor's Signature	<i>Masayuki Yoshida</i>					Date	20 January 1999
Residence: City	Yokohama-shi	State	JP	Country	JP	Citizenship	JP
Post Office Address	20-4 Nakaocho Asahi-ku						
Post Office Address							
City	Yokohama-shi	State	JP	Zip	241	Country	JP
Applicant Authority							

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventorGiven  
Name

Ryoji

Middle  
InitialFamily  
Name

EHARA

Suffix  
e.g. Jr.Inventor's  
Signature

Ryoji Ehara

Date

20 January 1999

Residence: City

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Country

JP

Applicant  
Authority

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventorGiven  
NameMiddle  
InitialFamily  
NameSuffix  
e.g. Jr.Inventor's  
Signature

Date

Residence: City

State

Country

Citizenship

Post Office Address

Post Office Address

City

State

Zip

Country

Applicant  
Authority

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventorGiven  
NameMiddle  
InitialFamily  
NameSuffix  
e.g. Jr.Inventor's  
Signature

Date

Residence: City

State

Country

Citizenship

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State

Zip

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Authority

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Residence: City

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Country

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Post Office Address

Post Office Address

City

State

Zip

Country

Applicant  
Authority☐ Additional inventors are being named on supplemental sheet(s) attached hereto

T01F01 E259Z660

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, we, Masayuki YOSHIDA, Ryoji EHARA, citizen(s) of Japan, and resident(s) of 20-4 Nakao chō Asahi-ku, Yokohama-shi, Japan 241; 624 Shinomiya Hiratsuka-shi, Kanagawa-ken, Japan 254, have invented certain new and useful improvement in

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for which we have made application for United States Letters Patent, said application having been filed as PCT international application number PCT/US97/13685 on 31 July 1997 designating the United States of America; and

WHEREAS, Henkel Corporation a corporation duly organized and existing under the laws of Delaware and having a place of business at 2500 Renaissance Boulevard, Suite 200, Gulph Mills, PA 19406 is desirous of acquiring the entire right, title and interest in and to said invention, said application and any and all Letters Patent which may issue thereon;

NOW, THEREFORE, to all whom it may concern, be it known that we, the said Masayuki YOSHIDA, Ryoji EHARA, for and in consideration of good and valuable considerations, the receipt of which is hereby acknowledged, do hereby sell, assign, transfer and set over unto the said Henkel Corporation its successors and assigns, the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereon in the United States together with any division, divisions, extension or extensions, reissue or reissues thereof;

AND, we hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may issue upon said invention to said Henkel Corporation as assignee of the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereupon.

FOR FILING

IN WITNESS WHEREOF, we have hereunto set our hands as of the following date(s).

Masayuki Yoshida Date 20 January 1999  
Masayuki YOSHIDA

Ryoji Ehara Date 20 January 1999  
Ryoji EHARA

09975523.101101

RECORDATION FORM COVER SHEET  
PATENT ONLY

DOC. FORM 6208 HST/NI PCT/US  
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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

<p>1. Name of conveying party(ies):</p> <p><b>Masayuki Yoshida</b> <b>Ryoji Ehara</b></p> <p>Additional names(s) or conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party (ies):</p> <p>Name: <u>Henkel Corporation</u></p> <p>Internal Address: _____</p>
<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Agreement</p> <p><input type="checkbox"/> Other</p> <p>Execution Date: <u>January 20, 1999</u></p>	<p>Street Address: <u>140 Germantown Pike, Suite-150</u></p> <p>City: <u>Plymouth Meeting</u> State: <u>PA</u> Zip <u>19462</u></p> <p>Additional name(s) &amp; addresses attached? <input type="checkbox"/> Yes. <input checked="" type="checkbox"/> No</p>

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: January 20, 1999

A. Patent application No. (s)

B. Patent No (s).

Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and Address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Henkel Corporation</u></p> <p>Internal Address: <u>Patent Department</u></p> <p>Attention: <u>Norvell E. Wisdom, Jr.</u></p> <p>Street Address: <u>2500 Renaissance Blvd. - Ste. 200</u></p> <p>City <u>Gulph Mills</u> State <u>Pa</u> ZIP <u>19406</u></p>	<p>6. Total number of applications and patents involved: <u>[ 1 ]</u></p> <p>7. Total fee (37 CFR 3.41).....\$ <u>40.00</u></p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>01-1250 (Order No. 98-0097)</u> (Attached duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Real J. Grandmaison (RN 25,981)  
Name of Person Signing

Real J. Grandmaison  
Signature

February 1, 1999  
Date

Total Number of pages including cover sheet, attachments and document: [ 3 ]

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